### UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF TEXAS HOUSTON DIVISION

STEPHEN McCOLLUM, and SANDRA	§	
McCOLLUM, individually, and STEPHANIE	§	
KINGREY, individually and as independent	§	
administrator of the Estate of LARRY GENE	§	
McCOLLUM,	§	
PLAINTIFFS	§	
	§	
V.	§	CIVIL ACTION NO.
	§	4:14-cv-3253
	§	JURY DEMAND
BRAD LIVINGSTON, JEFF PRINGLE,	§	
RICHARD CLARK, KAREN TATE,		
SANDREA SANDERS, ROBERT EASON, the	§	
UNIVERSITY OF TEXAS MEDICAL	§	
BRANCH and the TEXAS DEPARTMENT OF	§	
CRIMINAL JUSTICE.	§	
DEFENDANTS	§	

Plaintiffs' Consolidated Summary Judgment Response Appendix

# **EXHIBIT 297**

1		
1	UNITED STATES DISTRICT SOUTHERN DISTRICT OF	
2	HOUSTON DIVISION	
3	KEITH COLE, JACKIE BRANNUM, RICHARD KING, DEAN ANTHONY	) )
4	MOJICA, RAY WILSON, FRED WALLACE, AND MARVIN RAY YATES individually	) )
5	and on behalf of those similarly situated,	) CIVIL ACTION NO.
6	Plaintiffs,	) 4:14-cv-1698 )
7	VS.	) )
8		)
9	BRAD LIVINGSTON, in his official capacity, ROBERTO HERRERA, in his	) )
10	official capacity, and TEXAS DEPARTMENT OF CRIMINAL JUSTICE,	) )
11	Defendants.	)
12		
13	STEPHEN McCOLLUM, and SANDRA McCOLLUM, individually, and STEPHANIE KINGREY, individually	)
14	and as independent administrator of the Estate of LARRY GENE	) )
15	McCOLLUM,	) CIVIL ACTION NO. ) 4:14-cv-03253
16	Plaintiffs,	) )
17	VS.	) )
18	BRAD LIVINGSTON, JEFF PRINGLE, RICHARD CLARK, KAREN TATE, SANDREA	) )
19	SANDERS, ROBERT EASON, the UNIVERSITY OF TEXAS MEDICAL BRANCH	) )
20	and the TEXAS DEPARTMENT OF CRIMINAL JUSTICE,	)
21	Defendants.	) )
22	***********	*****
23	ORAL AND VIDEOTAPED DEPOS FRANK M. TRAKNYAK, P	ITION OF
24	March 22, 2016	
25		

HANNA & HANNA, INC. 713.840.8484

Plaintiffs' MSJ Appx. 6971

ORAL AND VIDEOTAPED DEPOSITION OF FRANK M. TRAKNYAK, P.E., produced as a witness at the instance of the PLAINTIFFS, and duly sworn, was taken in the above-styled and numbered cause on March 22, 2016, from 9:02 a.m. to 3:58 p.m., by machine shorthand before MICHELLE R. PROPPS, CSR, in and for the State of Texas, reported at the Office of the Attorney General of Texas, 808 Travis Street, Suite 1520, Houston, Texas, pursuant to the Federal Rules of Civil Procedure and the provisions stated in the record or attached hereto. 1.3 

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Plaintiffs' MSJ Appx. 6973

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7	Mr. Kevin J. Schaefer, Videographer
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HANNA & HANNA, INC. 713.840.8484

Plaintiffs' MSJ Appx. 6975

13

(Exhibit No. 4 marked.) 1 2 Q. All right. And then we've marked as Exhibit 4 3 your report in the McCollum case regarding the Hutchins -- I quess I called it a prison -- state jail, 4 5 the Hutchins State Jail. Correct? Α. That's correct. 6 7 Ο. And I think you've indicated that your copy of the report may have some pages out of sequence. If we 8 9 go through it and we need to sort anything out, let's 10 just figure out what we need to do and we'll make clear 11 and get it all straightened out. So if that happens, 12 you let me know. Okay? Yes. And that's just mainly in the 1.3 calculations. 14 15 Q. Got it. So we may not run into problems 16 potentially. Okay. 17 Α. (Exhibit No. 5 marked.) 18 19 And then we've got one -- as far as documents Q. 20 you've brought with you today, we've got a document that 2.1 we've marked as Exhibit No. 5. If you could pull that 22 out and tell me, briefly, what is Exhibit 5, sir? 23 Α. So Exhibit 5 are various excerpts of codes and standards such as the ASHRAE Application Standard, the 2.4 25 TCT -- I'm sorry -- TDCJ Design Criteria Manual, some

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1	excerpts out of the International Energy Conservation
2	Code 2009, the the State Energy Conservation Office,
3	where it it talks about what codes that state-funded
4	buildings need to comply to the Energy Codes various
5	energy codes. And the ASHRAE Standard 90.1, 2007. This
6	just talks about various system types. And then I just
7	put together a an Excel spreadsheet that talks about
8	just various combinations of temperatures and humidities
9	that can equate to an 88-degree heat index.
10	Q. Okay. So we have a kind of, a polyglot of
11	stuff in there, it sounds like. We'll go into more
12	detail on all of this, but that's the overview.
13	You you said used the acronym
14	ASHRAE. That's A-S-H-R-A-E?
15	A. Yes. It's a
16	Q. What does it stand for?
17	A. ASHRAE, A-S-H-R-A-E, American Society of
18	Heating Refrigeration and Air Conditioning Engineers.
19	Q. Okay. And that's that's an engineers
20	standards association?
21	A. Engineers standard association that is
22	let's call it the bible of HVAC, if if we may.
23	Q. The bible of HVAC. That's a pretty good way
24	of describing it. Okay. Good deal.
25	I'm going to go into all this stuff in

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1	A. I it's something I have not looked into. I
2	would I would assume that there's probably some
3	warehouse-type work where workers are in that might not
4	be air conditioned. There's possibly some
5	manufacturing-type type work that's where workers
6	are that's not air conditioned.
7	Q. Some workplaces?
8	A. I would say some workplaces.
9	Q. Okay. But if you move away from workplaces
10	and we just take places where people live and sleep, are
11	you personally aware of any such place in the state of
12	Texas that's been built without air conditioning, other
13	than a prison, to your knowledge?
14	A. To my knowledge, not in the state of Texas
15	Q. Right.
16	A that that I know of.
17	Q. Right. Other states, different climates,
18	different issue, obviously. Right. You might you
19	might see in New
20	A. Right.
21	Q England something built without air
22	conditioning is what you're getting at.
23	A. Right.
24	Q. If
25	(Interruption.)

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1 conditions are going to be by the time I've done the 2 HVAC work. What are we going to end up with? Right? 3 Α. That's -- that's correct. And what you're looking to -- you referred to 4 Ο. 5 -- I think to ASHRAE as the HVAC bible. We've got 6 ASHRAE 55, one of the things in the HVAC bible, it looks 7 like. 8 That's correct. Α. 9 Tell me what ASHRAE 55 is, if you would, 10 please. 11 It's a -- a standard that's also adopted in many of the codes that talk about comfort levels for 12 humans under various scenarios of being -- you know, 1.3 what they're bearing, what the velocity in the room is, 14 what temperatures and humidities, what type of activity 15 they're doing. And so there are -- it's based on -- on 16 17 a voting system. 18 So, usually, you know, maybe men might feel more comfortable with cooler temperatures, maybe 19 20 some women might feel comfortable with warmer 2.1 temperatures. So it -- it incorporates a lot of 22 different criteria. And there's this range of comfort, 23 temperature and humidity, areas that if -- you know, if 2.4 you're within that range, most likely, you know, you 25 will be comfortable; there might be other people more

1 comfortable, there might be other people less 2 comfortable, but it's -- it's a comfort range. And so, 3 you know, you can't please everyone -- you know, everybody wants a different temperature and everybody 4 5 wants a thermostat. So it -- it does vary from person 6 to person, and the size, and -- and things of that 7 nature. But that is something that they've performed research on to say, Okay, so as long as we're in this 8 9 range, we're -- you know, you should be comfortable. 10 And, typically, when we design an office, 11 there's a -- a standard point that you would design an 12 office to. And that may be 75 degrees at 50 percent RH. So, you know, without even looking at ASHRAE 55 or any 1.3 other code, that's kind of an industry standard point to 14 design various facilities for comfort. 15 16 Now, there may be instances where you 17 might have an operating room where you need it cooler 18 because of pathogens and whatnot, or a laboratory they 19 need to do this research at a certain precise 20 temperature and humidity. So you could go still within 2.1 that -- that region, but for the most part, it 22 establishes that region to design to. 23 And this is one of these, in your blood, use it all the time, every project industry standards that's 2.4 25 a regular part of your work, it sounds like?

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It is. But, there again, I usually design to that 75 degrees and 50, you know, for an office, because it's what we've been doing for 30 years. And that was -- that point was -- was somewhat established by ASHRAE 55, but I don't refer to ASHRAE 55 every single day because I know where that point is. And I hear what you're saying. You don't have to -- in fact, this is such an important regular standard that you've just become familiar with what it dictates, you don't have to keep looking back to it, you know, on a regular basis, for every project, here's what I'm going to be designing to, 75 degrees and 50 percent humidity. Α. Right. Right. But all of that -- the foundation for Q. all of that is ASHRAE 55. That's correct. Α. And this is generally accepted in the

Q. And this is generally accepted in the industry; I mean, that engineers like you, everyone uses this for the type of work you do?

A. Yes. I would say that for the type of work I do, it's -- it's a standard. So going outside that standard, again, you know, you're -- you're assuming a liability risk. So we designed -- when we picked a point, it was somewhere within that region.

people comfort [sic] --1 2 Α. Right. 3 -- you've got it taken care of. Q. That's correct. Α. 4 5 Q. Okay. And when you design buildings, that's 6 what you think about and that's what you do in your 7 industry. We design buildings for comfort. 8 Α. That's correct. 9 And the only reason I was asking about health 10 and safety, on Page 5 of your Pack report, under what 11 standards are used in the analysis, "The professional 12 design community relies on codes and standards in order to ensure the health and safety of the public and/or 1.3 occupants of a building." 14 You see that sentence? 15 Yes, I -- I --16 Α. 17 That's kind of what I was asking you about 18 health and safety standards. 19 Yes. And it's -- it's a requirement by our Α. 20 licensing that -- that we design to protect the health, 2.1 safety and welfare of the public and property. 22 Right. And I mean, there's various ways that 23 you can have a problem with health or safety, but one of them will be if people are in too hot conditions, that 2.4 25 could be a health or safety problem. Right?

Obviously, it can be if -- if there's a 1 2 situation where they're adversely affected because of 3 hyperthermia. Right. Now, I mean, obviously, you're not a 4 Ο. 5 medical doctor. 6 Α. Right. 7 You're not here to give medical testimony and I'm not asking that. 8 9 Α. Right. 10 But you're just aware that, you know, when you 11 have health and safety standards, one thing among the 12 hazards is to avoid it being too hot and the bad health consequences. You don't want that. Right? 1.3 No, I don't want that. 14 And you take care of it with ASHRAE 55 and 15 Q. that 75 temperature, 50 humidity. When you're designing 16 17 buildings to that, you know you're going to take care of 18 the health and safety for the heat, because you're going 19 to actually make it comfortable. Right? 20 That's correct. Α. 2.1 And you -- you view yourself as -- by your 22 license and just being an engineer, that's the way that 23 you build buildings, that's the way that you do it? That's correct. 2.4 Α. 25 Q. Okay. So I want to talk to you a little bit

to learn more about it, all the details about it and, 1 2 you know, how they came up with all the aspects of those 3 graphs and -- and things of that nature. But that's about the extent of the research that -- that I know. 4 5 Q. So there's a lot of work that's gone into this. 6 7 Α. It has, yes. And when you say "they," is it the American 8 9 National Standards Institute who's done all this 10 research work? Is it ASHRAE? That's probably a stupid 11 question. But who's doing the work? I mean, it's ASHRAE, the Association --12 American Standard Heating, Refrigeration and Air 1.3 Conditioning Engineers. 14 Okay. And since 1966, you said? 15 Q. Α. Since 1966. 16 17 Okay. And so there's been a comfort range 18 standard since that time that's roughly in this 75-50 19 point or has it changed over time? 20 The earliest I could find is a graph in 1981. Α. 2.1 Ο. But if the standard went in place in 1966, 22 what was the standard between '66 and '81? Do you know? 23 Α. I don't, because I couldn't find any -- you 2.4 know, anything published, you know, earlier than the 25 1981.

- Q. What did the 1981 graph show you?
- A. It was very similar to the graph that we -- we show in -- in our report on -- let's see. What page is it on? -- on Page 19.
  - Q. Right. Okay. So the standard that's in effect today, ASHRAE 55, basically the same version of the standard was in effect at the earliest time you could find in 1981. Right?
    - A. That's correct.

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- Q. Okay. And what you've described is ASHRAE has spent a whole lot of time doing research work to figure out nuances of where the comfort range should be, and interviewing people, and looking at clothing, and conditions and all sort of stuff. They put a lot of work into trying to get this right, it sounds like.
  - A. Yes, that's correct.
- Q. And I take it you'd agree that's a good thing.

  I mean, you want to -- want to get this right about the comfort range. Right?
- A. Right. And it also gives a tool that, Hey, if we're going to design something, let's design to something tangible, which is this -- this standard.
- Q. Right. You've got a -- right. You've got a tangible standard written down that everyone can look to. Right?

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1	Q. Right. Right.
2	A. So a lot of our codes and standards are
3	adopted throughout the world.
4	Q. Here's one other thing I wanted to ask you
5	about on Page 6. Again, this is the Pack report. And
6	so, in addition to ASHRAE 55, you've also mentioned the
7	American Correctional Association Standards; is that
8	right?
9	A. That's correct.
10	Q. Okay. And, in fact, you've cited to, let's
11	see, the 4 Edition, Standard 4-4153. Correct?
12	A. Yes.
13	Q. And it looks like you're citing that in
14	support of ASHRAE 55 standard, that the that American
15	Correctional Association Standard directs that the
16	temperature and humidity levels to be capable of being
17	mechanically raised or lowered to an acceptable comfort
18	level. Correct?
19	A. Yes.
20	Q. And is that your understanding of what the
21	American Correctional Association requires?
22	A. Yes.
23	Q. Which is consistent with the the ASHRAE 55
24	HVAC bible standard. Right?
25	A. Right. That's correct.

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1 And that's a good thing. Right? Q. 2 Α. I would say so, yes. 3 Yeah. You want people to be comfortable, just Q. like we talked about. 4 5 Α. Yes. Okay. So you've got -- you've got no quarrel 6 Q. 7 with that. You agree with that standard. Α. Yes. 8 9 Okay. All right. Let's -- so let's talk 10 about how you've applied ASHRAE 55 for your work here. And I'm going to confess, I don't need -- I don't know 11 12 how to read your figure and I'm going to ask you to walk me through it. I think you said it was on Page --1.3 19. 14 Α. 15 Q. Yeah. But let's start on Page 17 and 18, 16 because I'm even dumber than you think I am, so I need 17 all the help that I can get. 18 And so this is kind of the -- start with 19 Page 17. And I saw Outdoor Design Conditions Table. 20 Tell me what that is. 2.1 So the ASHRAE fundamentals have various 22 weather data for most of -- every -- every state in the 23 country. And the majority that -- the major cities 2.4 and -- and they also have information on, you know, 25 different parts of the world, different countries. So

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1 this is a cut-and-paste typo. But on the Hutchins 2 report, that first category on the building envelope, 3 Hutchins -- the Hutchins' buildings were built in 1995 -- right? -- later than the original Pack stuff. 4 5 A. You're on Page 4? Oh, no. Q. No. 6 7 Α. Page 3? 8 It's actually -- let's see. Take a look at --Q. 9 take a look at Page 9. 10 A. (Complies.) 11 Q. Right? 12 Α. All right. So, yeah --You're talking about the existing conditions 1.3 Q. at Hutchins. Right? 14 15 Α. Yes. And you're describing what you got and when it 16 Ο. 17 was built. And in describing when it was built, it 18 says -- the construction documents were dated 1994 and 19 the unit was brought on line in 1995. Right? 20 Α. Yes. 2.1 Okay. And then when we were looking at the 22 cost chart that we were just talking about back on 23 Page 4, you're talking about modifying the building envelope in -- it says, "Four original 1981 dormitories 2.4 25 and trustee camp."

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1	Right?
2	A. That's correct.
3	Q. The ASHRAE 55 standard has been in effect, I
4	think you said, at least since 1981. Right?
5	A. 1966 is when it was first adopted or
6	first
7	Q. You were first able to find a chart or graph
8	in 1981?
9	A. In 1981, yes.
10	Q. And that's what showed you to be able to
11	quantify that the 1981 standard is basically the same
12	comfort standard as today. Right?
13	A. Yes. It it appears to be
14	Q. Close.
15	A very close, yeah.
16	Q. And as we've discussed for many hours, that
17	ASHRAE 55 standard is one of the crucial standards for
18	you in doing your work.
19	A. Yes.
20	Q. So that the Hutchins housing units were built
21	in 1995 with no air conditioning. Right?
22	A. That's correct.
23	Q. And that means there's a lot of days in the
24	summer when they'll be well outside the ASHRAE comfort
25	zone. Right?

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1	A. That is correct.		
2	Q. And so if TDCJ had come to you in 1995 and		
3	asked you to build those Hutchins housing areas with no		
4	air conditioning, I take it you would have walked away		
5	from the work?		
6	A. Possibly.		
7	Q. Well, that's what you told us earlier		
8	A. Yes.		
9	Q you would have walked away from work.		
10	Right?		
11	A. I just recently walked away from a job and it		
12	was a great job and I did not want to walk away from it.		
13	Q. Right.		
14	A. So I probably would.		
15	Q. Okay. And same answer for if they'd		
16	approached you in 1981 to build non-air conditioned		
17	dorms at Pack, same thing, you'd have walked away from		
18	it?		
19	A. Yes.		
20	Q. Okay. Let me shift gears. And I want to ask		
21	you about compensation for doing your work and		
22	preparation time for this deposition.		
23	You may have discussed this with the		
24	attorneys, but we have particular financial methods that		
25	we do here, where I've got to break out, in terms of		

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                IN THE UNITED STATES DISTRICT COURT
                FOR THE SOUTHERN DISTRICT OF TEXAS
 2
                          HOUSTON DIVISION
 3
    KEITH COLE, JACKIE BRANNUM,
    RICHARD KING, DEAN ANTHONY MOJICA,
 4
                                          )
     RAY WILSON, FRED WALLACE, AND
                                          )
 5
    MARVIN RAY YATES individually and
                                          ) CIVIL ACTION NO.
     on behalf of those similarly
 6
    situated,
                                             4:14-cv-1698
                                          )
 7
           Plaintiffs,
                                          )
                                          )
    VS.
 8
     BRAD LIVINGSTON, in his official
     capacity, ROBERTO HERRERA, in his
10
     official capacity, and TEXAS
    DEPARTMENT OF CRIMINAL JUSTICE,
11
          Defendants.
12
13
     STEPHEN MCCOLLUM, and SANDRA
                                         )
     MCCOLLUM, individually, and
14
    STEPHANIE KINGREY, individually
    and as independent administrator
15
    of the Estate of LARRY GENE
                                             CIVIL ACTION NO.
    McCOLLUM,
16
                                              4:14-cv-03253
           Plaintiffs,
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    VS.
18
    BRAD LIVINGSTON, JEFF PRINGLE,
    RICHARD CLARK, KAREN TATE, SANDREA
19
     SANDERS, ROBERT EASON, the
20
    UNIVERSITY OF TEXAS MEDICAL BRANCH
     and the TEXAS DEPARTMENT OF
    CRIMINAL JUSTICE,
22
          Defendants.
23
                       REPORTER'S CERTIFICATION
                          ORAL DEPOSITION OF
2.4
                       FRANK M. TRAKNYAK, P.E.
                           MARCH 22, 2016
25
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HANNA & HANNA, INC. 713.840.8484

Plaintiffs' MSJ Appx. 6991

I, MICHELLE R. PROPPS, Certified Shorthand 1 2 Reporter in and for the State of Texas, hereby certify to the following: 3 That the witness, FRANK M. TRAKNYAK, P.E., was 4 duly sworn by the officer and that the transcript of the 5 oral deposition is a true record of the testimony given 6 7 by the witness; I further certify that pursuant to FRCP Rule 8 30 (f) (1) that the signature of the deponent: 9 10 X was requested by the deponent or a 11 party before the completion of the deposition and 12 returned within 30 days from date of receipt of the transcript. If returned, the attached Changes and 1.3 Signature Page contains any changes and the reasons 14 15 therefor; was not requested by the deponent or a 16 17 party before the completion of the deposition. 18 I further certify that I am neither attorney 19 nor counsel for, related to, nor employed by any of the 20 parties to the action in which this testimony was taken. 2.1 Further, I am not a relative or employee of any attorney 22 of record in this cause, nor am I financially or 23 otherwise interested in the outcome of the action. 2.4 Subscribed and sworn to on this the 31st day of 25 March, 2016.

FRANK M. TRAKNYAK, P.E. - 3/22/2016

1	NOTCA Signed
2	1 * 11.1: • i ( (12.1)
3	MICHELLE PROPPS, CSR
4	Expiration Date 12-31-16 Hanna & Hanna, Inc.
5	Firm Registration No. 581 1812 W Sam Houston Parkway N
6	Houston, Texas 77043 713.840.8484
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### UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF TEXAS HOUSTON DIVISION

McCOLLUM, individually, and STEPHANIE \$ KINGREY, individually and as independent \$ administrator of the Estate of LARRY GENE \$ McCOLLUM, \$ PLAINTIFFS \$  v. \$ CIVIL ACTION NO. \$ 4:14-cv-3253 \$ JURY DEMAND  BRAD LIVINGSTON, JEFF PRINGLE, \$ RICHARD CLARK, KAREN TATE, \$ SANDREA SANDERS, ROBERT EASON, the \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	STEPHEN McCOLLUM, and SANDRA	§	
KINGREY, individually and as independent administrator of the Estate of LARRY GENE \$  McCOLLUM, \$  PLAINTIFFS \$  v. \$  CIVIL ACTION NO. \$  4:14-cv-3253 \$  JURY DEMAND  BRAD LIVINGSTON, JEFF PRINGLE, \$  RICHARD CLARK, KAREN TATE, \$	McCOLLUM, individually, and STEPHANIE	§	
administrator of the Estate of LARRY GENE \$ McCOLLUM, \$ PLAINTIFFS \$  v. \$ CIVIL ACTION NO. \$ 4:14-cv-3253 \$ JURY DEMAND  BRAD LIVINGSTON, JEFF PRINGLE, \$ RICHARD CLARK, KAREN TATE, \$	KINGREY, individually and as independent	§	
McCOLLUM, PLAINTIFFS	•	§	
v. \$ CIVIL ACTION NO. \$ 4:14-cv-3253 \$ JURY DEMAND  BRAD LIVINGSTON, JEFF PRINGLE, \$ RICHARD CLARK, KAREN TATE, \$	McCOLLUM,	§	
\$ 4:14-cv-3253 \$ JURY DEMAND BRAD LIVINGSTON, JEFF PRINGLE, \$ RICHARD CLARK, KAREN TATE, \$	PLAINTIFFS	§	
\$ 4:14-cv-3253 \$ JURY DEMAND BRAD LIVINGSTON, JEFF PRINGLE, \$ RICHARD CLARK, KAREN TATE, \$		§	
BRAD LIVINGSTON, JEFF PRINGLE, § RICHARD CLARK, KAREN TATE, §	V.	8	CIVIL ACTION NO.
BRAD LIVINGSTON, JEFF PRINGLE, § RICHARD CLARK, KAREN TATE, §		8	4:14-cy-3253
BRAD LIVINGSTON, JEFF PRINGLE, § RICHARD CLARK, KAREN TATE, §		8	JURY DEMAND
RICHARD CLARK, KAREN TATE, §	BRAD LIVINGSTON. JEFF PRINGLE.	-	VOICE BEHALL
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SANDREA SANDERS RUDERT EASUN HE O	SANDREA SANDERS, ROBERT EASON, the	•	
UNIVERSITY OF TEXAS MEDICAL §	, , , , , , , , , , , , , , , , , , ,	U	
BRANCH and the TEXAS DEPARTMENT OF §			
CRIMINAL JUSTICE. §		8	
DEFENDANTS §		8	

Plaintiffs' Consolidated Summary Judgment Response Appendix

# **EXHIBIT 298**

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1
                IN THE UNITED STATES DISTRICT COURT
                FOR THE NORTHERN DISTRICT OF TEXAS
 2
                          DALLAS DIVISION
 3
    STEPHEN McCOLLUM, et al., )
               Plaintiffs,
 4
                                   CIVIL ACTION
    v.
 5
                                  NO. 3:12-CV-02037
 6
    BRAD LIVINGSTON, et al.,
               Defendants.
 7
 8
9
                          ORAL DEPOSITION
10
                        SUSI VASSALLO, M.D.
11
                           March 5, 2014
12
13
14
15
         ORAL DEPOSITION OF SUSI VASSALLO, M.D., produced as
16
    a witness at the instance of the Defendant UTMB and duly
17
    sworn, was taken in the above-styled and numbered cause
18
    on the 5th day of March, 2014, from 10:03 a.m. to
19
    5:06 p.m., before Dalia F. Inman, Certified Shorthand
20
    Reporter in and for the State of Texas, reported by
21
    computerized stenotype machine at the offices of The
22
    Edwards Law Firm, 1101 E. 11th Street, Austin, Texas
23
    78702, pursuant to the Federal Rules of Civil Procedure
24
    and the provisions stated on the record or attached
25
    hereto.
```

```
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Susi Vassallo, M.D. APPEARANCES (cont.) FOR DEFENDANTS EASON, PRINGLE, SANDERS, TATE, CLARK, TDCJ: Mr. Seth Dennis Assistant Attorney General Law Enforcement Defense Division 300 W. 15th Street, 7th Floor Austin, Texas 78701 ALSO PRESENT: MS. JENNIFER OSTEEN, UTMB LEGAL AFFAIRS MR. LARS HAGEN, ATTORNEY, U.T. SYSTEM 

```
1
    Mr. McCollum is at least there from June 24th to
 2
    July 7th of 2011?
 3
        Α
              Yes.
 4
         Q
              He may have been there more, but we at least
 5
    know he was in during that time, right?
 6
        Α
              Yes.
 7
              And of the time that he was there, the records
         Q
 8
    indicate that he only got the actual clonidine on four
9
    occasions.
10
        Α
              Yes.
11
         Q
              Does that indicate to you that -- well, strike
12
    that.
13
                   Do you see the other blood pressure
14
    readings for the other days?
15
        Α
              Yes.
16
         Q
              And were those blood pressure readings high?
17
        Α
              Some of them were, yes.
18
        Q
              And some of them were not?
19
        Α
              I see two that were not high and -- out of a
20
    total of -- 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12 -- 13.
21
                   So two that were not high, is what I
22
    believe I'm counting, out of 13 that I'm looking at.
23
        Q
              And for you, what is the -- what is the
24
    baseline for high or not high?
25
                   MR. EDWARDS: Objection, vague.
```

```
1
                   THE WITNESS: So the -- the standards for
 2
    the diagnosis of hypertension depend a little bit on the
 3
    co-morbidities of the individual. For example, the
 4
    blood pressure -- any diastolic blood pressure greater
 5
    than 90 -- that's the lower number -- is considered
 6
    hypotensive. So for somebody who has diabetes, the
 7
    current standard is a systolic blood pressure greater
8
    than or equal to 140; for someone who does not have
9
    diabetes, a systolic blood pressure of 150.
10
        Q
              (By Ms. Coogan) Okay. And --
11
        Α
             And -- excuse me -- I'm relying there -- I
12
    notice that Dr. Adams relied on JNC 7, and since -- I'm
13
    relying on JNC 8 so -- for that -- those numbers.
14
        Q
             And do you know when JNC 8 came into effect?
15
        Α
              In the last two years.
16
        Q
             After 2011?
17
        Α
              Yes.
18
                   And the diastolic blood pressure held in
19
    seven as well. So those -- in other words, that is not
20
    a point of difference.
21
              Okay. Before we get ahead of ourselves, let me
        Q
22
    finish this train of thought.
23
        Α
             Okay.
24
              Do you have an opinion why the McLennan County
25
    Sheriff's Office did not give clonidine to him every
```

1 time his -- you say his blood pressure was high? 2 I do not -- I can't speculate as to why this 3 was the treatment course that he was given. This is not 4 an acceptable way to treat hypertension. And this is 5 a -- outside of the standard of care at that time to treat in this manner. 6 7 What is, in your opinion, the first-line 8 treatment for hypertension? 9 So I think, if I understand your question, Α 10 you're asking for the chronic disease and the chronic 11 day-to-day treatment of hypertension. 12 Q Well, that's a good clarification. Let me ask 13 you. 14 Did Mr. McCollum, in your opinion, have 15 day-to-day chronic hypertension when he came to 16 Hutchins? 17 In my opinion, he had hypertension and -- the 18 opinion is yes, he did have hypertension. He had been 19 diagnosed with it previously. We have blood pressures 20 in the records that show hypertensive blood pressures, 21 and he had hypertension. 22 Q And is it your opinion that he did not have 23 intermittent hypertension? 24 Well, whether or not somebody has a diagnosis 25 of hypertension, all of our blood pressures go up at

1 some time and go down at some time. 2 So there will be intermittent changes in 3 the blood pressure readings, but it's -- I don't -- it's 4 my opinion that he had hypertension. Sometimes the 5 blood pressure readings were higher; sometimes they were 6 lower depending on the -- on a number of factors. 7 in any case, he had hypertension in my opinion. 8 Q Did he have chronic hypertension? 9 Α Yes. 10 Q And in your opinion, if you had been treating 11 this patient, what medication would you have prescribed? 12 Α Well, the recommendations are to start with a 13 diuretic such as hydrochlorothiazide. 14 0 Do you have any complaint the fact that Mr. Babbili prescribed hydrochlorothiazide for this 15 16 patient? 17 Α No. 18 While he was at the McLennan County Jail, did 0 19 he receive any treatment for diabetes? 20 Α No. 21 0 And when he came in to McLennan County, did he 22 report currently having diabetes? 23 Α The medical record does not have diabetes 24 checked off. Now, I'm not certain as to who was 25 actually marking that, if it was himself with the chart

1 0 Okay. Now, I'm going to change and go to 2 Hutchins intake now. 3 Α Okay. 4 Q Okay. And so that's Exhibit 14. 5 Α I'll give you that one back. 6 Okay. And I'm going to give you this one Q 7 because this is actually the original. And show you --8 direct your attention to page 27 of Exhibit 14. And is 9 that an intake form that is used -- or if you know --10 when inmates go to Hutchins Jail? 11 Α It appears to be that. It says "Correctional 12 Managed Care Intake History and Health Screening." 13 Did Mr. McCollum, at least by this document, 14 indicate that he was diabetic? 15 Α Yes. 16 Where does he indicate that he was diabetic? 0 17 Α Excuse me. He -- I started at the top, and 18 that is the family history -- excuse me. That's the 19 family history of diabetes that I was referring to in 20 error. 21 Q Okay. 22 Okay. Now, the personal history, he has also Α 23 circled -- somebody has circled the word "diabetes, 24 ves." That's number seven. 25 Okay. And can you tell if he indicates if that Q

```
1
    is a current problem or a historical problem?
 2
              Well, when we ask patients if they have a
 3
    history of an illness, that is -- refers for chronic
 4
    illnesses to, did you ever have it and do you have it.
 5
    Both of those are answered by that.
 6
                                Objection, nonresponsive.
                   MS. COOGAN:
 7
              (By Ms. Coogan) Can you tell from this record
        Q
 8
    whether that is a current problem or an historical
9
    problem?
10
                   MR. EDWARDS: Objection, asked and
11
    answered.
12
              (By Ms. Coogan) Or are you making an
        Q
13
    assumption?
14
                   MR. EDWARDS: Objection, asked and
15
    answered.
               Argumentive.
16
                   THE WITNESS:
                                 The -- the -- having been a
17
    physician for 27 years, I'm speaking to the usual way to
18
    document, in the current tense, a medical problem.
19
    other words, this is not so much an assumption as it is
20
    based on the meaning of -- the personal history.
21
    Diabetes, when someone says yes, that means they have
22
    diabetes in the present. So to answer your question,
23
    that means in the present.
24
              (By Ms. Coogan) Okay. I thought you testified
25
    earlier that there was no uniform way of documenting
```

1 Α No. 2 In your opinion, did Mr. McCollum have 0 3 diabetes? 4 Α Yes. 5 And what is your opinion based on? 0 6 The -- my opinion is based on his telling his Α 7 family that he was diagnosed with diabetes earlier in 8 Waco. 9 0 Really? Where did you learn that? 10 Α From the deposition testimony of his family. 11 Q And it's your opinion that he told his family 12 he had diabetes? 13 Well, that's from the deposition of those Α 14 people. 15 Okay. Where else did you get your opinion? Q 16 Α They had several blood sugars that were 17 elevated. He --18 Which blood sugars were elevated? 0 19 Α The blood sugar of 130 on the morning of 7/20. 20 This was elevated. He had hemoglobin Alc of 6.2. 21 was massively obese, which is a major risk factor for 22 diabetes. 23 Q What does the American Diabetes Association --24 do you consider the American Diabetes Association, the 25 International Diabetes Federation, and the European

Association for the Studies of Diabetes to be reputable sources for diagnosis of diabetes?

A I -- they are reputable; however, there's disagreement. And I also think that the New England Journal -- and there are many other sources that discuss the subtleties of the diagnosis of diabetes.

Q Do you think the American Diabetes Association and the International Diabetes Federation and the European Association for the Study of Diabetes probably have some understand of the subtleties involved in the diagnosing diabetes?

A Yes.

Q Do you know what those organizations use as the standard for when a person's Alc should be diagnosed as diabetic or as having diabetes?

A Well, the cutoff of 6.5 of hemoglobin Alc is one of them. The -- and random glucose above 200 is one of them.

Q Do you --

MR. EDWARDS: Are you done, doctor?

THE WITNESS: Well, I just want to say

that when -- when these numbers from these international
and national organizations are taken, there is a

clinical context in which they're applied. Any single
number -- for example, 6.5 is taken by the American

1 Diabetes Association to provide some clinical guidelines 2 regarding risk primarily of retinopathy and diabetes. 3 That's how that number was established. 4 The more nuanced approach is to -- to look 5 at an individual. So these statistics and these 6 recommendations, when applied by a physician to an 7 individual, there is room there for -- as was brought up 8 in the New England Journal and many other, including the 9 American Diabetes Association and the other 10 organizations -- there's some nuance to -- to the value 11 with the establishment of diabetes. 12 So 6.5 is a number that was derived to 13 indicate an abrupt rise in the risk of retinopathy. 14 That does not mean that somebody with 6.2 is -- does not have -- is not diabetic. If that person has got a 15 16 49-point-something BMI and if the person is -- has 17 elevated fasting blood sugars, all of those things would 18 be taken together. 19 And as -- the article I've shown you, the 20 New England Journal has a nice review of this subject. 21 And there are many other reviews on the subject. 22 The -- the nuance piece there is that all 23 of this has to do with prognosis in terms of treatment, 24 lifestyle changes. It's not as if -- if you're not 6.5 25 period, you don't have diabetes. So that is a

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Susi Vassallo, M.D. 70

mischaracterization of the meaning of 6.5. And should we -- should -- want to discuss it more, but 6.5 has a certain sensitivity and specificity with regards to diabetes and every risk. So it's not as cut-and-dry as the American Diabetes and so forth. These are quidelines for the discussion with the patient about risks, lifestyle changes, and treatment. But to take that particular number in the context of, if you're not 6.5, you don't have diabetes is a misapplication of a single lab value. In this case, his hemoglobin Alc was 6.2. All of these organizational bodies recommend repeating the value and taking it in the context also of the blood sugars and the entire clinical picture. (By Ms. Coogan) Okay. And Mr. McCollum's Alc Q was 6.2; is that right? Α Yes. Do you consider yourself an expert in diabetes? 0 I'm not a diabetologist. I'm a physician who's Α treated thousands of patients with diabetes and have

A I'm not a diabetologist. I'm a physician who's treated thousands of patients with diabetes and have diagnosed diabetes hundreds and hundreds of times in the emergency department. And this is a very common question. There are physicians who dedicate their careers only to the study of diabetes. I am not one of those people.

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Susi Vassallo, M.D.

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have heat stroke; some morbidly obese people don't have
heat stroke. And so I am asking you whether that means
that -- who is going have heat stroke and who isn't,
that there's no real predictability for that; is that
fair?
    Α
         I think that there are certain people who are
at greater risk and we cannot always predict exactly who
those people are, especially when we compare a group of
people with the same risk factors, obesity and
hypertension. But I think that everybody with obesity
and hypertension and cardiomyopathy are at risk.
              So I don't want to opine about
air-conditioning. My -- I think that the conditions at
Hutchins are dangerous for everybody because of the
temperatures in the hundreds in the living areas, and
that includes corrections officers.
              Do I think that this is a dangerous
temperature for everybody? Yes, I do.
              Is it more dangerous for some people than
others?
        Yes.
              Should the facility, in order to be safer
for everybody who enters that facility and stays there,
should it be cooler?
                      Yes.
              I'm not making a recommendation as to how
that should be done. I'll leave that to the mechanical
```

1 him at that time? 2 Α Yes. 3 Q Okay. Can there be a difference in how 4 convulsions appear versus how a seizure appears? 5 Α It's the same thing. Convulsions are seizures 6 and seizures are convulsions. 7 And in your experience, do you call 9-1-1 for a Q 8 seizure event? 9 Α I'm a physician. I don't call 9-1-1. I am 10 9-1-1. However, if I came out on the street and I saw 11 someone having a seizure, I would call 9-1-1. 12 Q What about if you're working in a correctional 13 institution and you have people who come out of seizures 14 all the time, would you recommend that every time there's a seizure 9-1-1 is called? 15 16 Α This seizure was -- the answer to your question 17 But my point of view is different in this case. 18 The man's body felt hot. He was -- and he was hot to 19 the touch and he was convulsing and the temperature was 20 a hundred. 21 Q Okay. 22 Α Those things make the circumstances different 23 here than every man who has a seizure. And a lot of 24 people, they know they have seizures. 25 Q So in your view -- and correct me if I'm

```
1
    wrong -- Mr. Clark should've known that this was
 2
    something more than a seizure based upon --
 3
        Α
              No.
 4
        Q
              -- the convulsing, the temperature, and the
 5
    temperature of his skin, and the temperature of the
 6
    dorm?
 7
        Α
              Yes.
 8
              Okay. He should've been able to draw the
        Q
    conclusion that this was a life-threatening emergency
9
10
    and he needed to make that call?
11
        Α
              Well, every seizure is a life-threating
12
    emergency whether or not we call 9-1-1 or get a history.
13
    I mean, seizures kill people all the time without heat
14
             People lose their airway and -- and seizures
15
    have a potential to cause death.
              So do we call 9-1-1 for every seizure, or do we
16
        0
17
    let some of them try to resolve themselves and ride them
18
    out? Or is it a case-by-case basis, Doctor?
19
                                 Objection, foundation.
                   MR. EDWARDS:
20
                                 It's -- who is "we"?
                   THE WITNESS:
21
              (By Mr. Garcia) Well, you just testified that
        Q
22
    you don't advise people call 9-1-1 for every seizure
23
    event.
             Did I -- am I mischaracterizing --
24
              I didn't say that.
        Α
25
        Q
              Okay. What did you testify earlier to in
```

1 Mr. Clark calling her until essentially the end of the incident. Do you recall that? 2 3 Α Mm-hmm. 4 Q And I'd like to know, if you can, tell me what 5 Sergeant Tate did that was inappropriate in this 6 situation, at least as it faced her when she arrived. 7 Well, the -- she should have had called 9-1-1. Α 8 So here we have someone who's having a seizure, which 9 Mr. Clark observed. She's observing the same seizure. 10 There's a time component here. She also is carrying a 11 card and has the training, and 9-1-1 is part of that 12 training, and Dr. Murray said it's part of that 13 training. And so we're in an environmental condition 14 that is being taught to -- they're being taught what to 15 do, and part of -- 9-1-1 is part of that and 16 individually taught. 17 And in the meantime, nobody called 9-1-1. 18 Time is passing. So the call came in to 9-1-1 at 19 3:05 a.m., but the testimony of the officers and 20 everybody else says it was about 2:10 when this whole 21 activation started. So we've lost 55 minutes. 22 Q Okay. Now, you're aware that theses officers 23 each arrived in sequence --24 Α Yes, I am. 25 And so each one was not there for the entire Q

1 0 (By Mr. Garcia) Are you aware of that? 2 Well, I know that someone called 9-1-1, and I 3 know that the medical person on the other end of the 4 phone tried to ascertain if he had had a history of 5 seizures and said call 9-1-1. So I'm not exactly sure 6 at this moment if that phone call originated from the 7 I don't guite remember; although, I know there 8 was a call back to a health professional to say how is 9 it going. So that makes me think that call was between 10 two health care professionals. 11 Q Now, convulsions and seizures are exactly the 12 They look exactly the same; is that correct? same. 13 They're exactly the same thing. Α 14 Q The only thing that would be different to some 15 extent in a heat event would be the heat of the skin; is 16 that correct? Is that what you're telling me? 17 What the officer should've been aware of 18 that should've made them know that this was a heat 19 exhaustion event was -- it's not just the seizures, 20 because that could be a seizure event also, right? It's 21 the heat of the skin; that's the difference you're 22 telling me? 23 Α No. I'm not simplifying it like you're 24 simplifying it. I'm saying that there was a set of 25 circumstances. They were in hot conditions. Everybody

1 knew it was a hundred degrees all day. That was the 2 temperature. Everybody knew it was hot there. And 3 they're hot themselves. And they are trained about 4 the -- and warned repeatedly about the situation. They 5 see -- and -- and they come upon a man who is 6 unresponsive, has a seizure, and now 55 minutes pass 7 where he's still unresponsive. They can feel that he's 8 hot; whether they did or they didn't, it doesn't matter. 9 It could have been no heat stroke. This man needed 10 9-1-1. Whether they did or didn't appreciate the 11 feeling of his skin and his appearance, I don't know. 12 But any man on the street -- you don't 13 have to be a corrections officer -- recognizes that when 14 somebody is having seizures and unresponsive for 55 -for 5, 10, 15 minutes, whatever it is, they need an 15 16 ambulance and they need to go somewhere. 17 Have you ever seen seizures that resolved after 18 10 minutes with nothing wrong with the person? 19 Hundreds of times. Α 20 Okay. Now, you are also aware that the unit is 21 taking certain activities to try to help with the heat 22 conditions, are you not? 23 Α Yes. 24 0 Okay. And some of these things are access to 25 ice water, to have plenty of ice water. Are you aware

1 actually, all of the officers could have started cooling 2 measures and called 9-1-1 according to their training 3 and the fact that this was clearly an emergency. 4 Q In the face of a clear emergency, can you think 5 of any reason to delay contacting 9-1-1 for 55 minutes? 6 Α No. 7 Okay. How would you describe such action? Q 8 I would describe it as unacceptable and a Α 9 contributor to this man's death from heat stroke. 10 MR. EDWARDS: Okay. We'll reserve the 11 rest of our questions for trial. Thank you. 12 MS. COOGAN: I just have a couple. And 13 I'm sorry. 14 EXAMINATION 15 BY MS. COOGAN: 16 0 Do you know how many people in 2011 in Texas in 17 the free world died from heat stroke? 18 The -- the answer is, I don't know. Those Α 19 kinds of numbers usually come out with the CDC. The CDC 20 reported on the Arizona heat deaths -- and there were a 21 number of them, but not on the Texas deaths, if there 22 were. We know there are. But -- so I don't know the 23 answer. 24 If the percentage of people who died in the 25 free world is the same or less than the percentage of

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                IN THE UNITED STATES DISTRICT COURT
                FOR THE NORTHERN DISTRICT OF TEXAS
 2
                          DALLAS DIVISION
 3
    STEPHEN McCOLLUM, et al., )
               Plaintiffs,
 4
                                  CIVIL ACTION
    v.
 5
                                  NO. 3:12-CV-02037
    BRAD LIVINGSTON, et al.,
 6
               Defendants.
 7
 8
 9
10
                     REPORTER'S CERTIFICATION
                        ORAL DEPOSITION OF
11
                        SUSI VASSALLO, M.D.
                           March 5, 2014
12
13
               I, Dalia F. Inman, Certified Shorthand
14
    Reporter in and for the State of Texas, hereby certify
15
    to the following:
16
               That the witness, SUSI VASSALLO, M.D., was
17
    duly sworn by the officer and that the transcript of the
18
    oral deposition is a true record of the testimony given
19
    by the witness;
20
               I further certify that pursuant to FRCP Rule
21
    30(f)(1)the signature of the deponent:
22
               X was requested by the deponent or a party
23
    before the completion of the deposition and returned
24
    within 30 days from date of receipt of the transcript.
25
    If returned, the attached Changes and Signature page
```

## ORAL DEPOSITION OF SUSI VASSALLO, M.D.

```
contains any changes and the reasons therefor;
1
                    was not requested by the deponent or a
2
   party before the completion of the deposition.
3
              I further certify that I am neither attorney
4
   nor counsel for, related to, nor employed by any of the
 5
   parties to the action in which this testimony was taken.
 6
    Further, I am not a relative or employee of any attorney
 7
    of record in this cause, nor am I financially or
 8
    otherwise interested in the outcome of the action.
 9
              Subscribed and sworn to on this the 13th day
10
    of March, 2014.
11
12
13
14
                      Dalia F.
                               Inman,
                                      CSR
                                   12/31/2015
                      Expiration:
15
                      Sunbelt Reporting & Litigation
                      Firm #87
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                      1016 La Posada Drive, Suite 294
                      Austin, Texas
                                     78752
17
                      (512)465-9100 Phone
                      (512)465-9132 Fax
18
    Job No. 176390
19
20
21
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23
2.4
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## UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF TEXAS HOUSTON DIVISION

STEPHEN McCOLLUM, and SANDRA	§	
McCOLLUM, individually, and STEPHANIE	§	
KINGREY, individually and as independent	§	
administrator of the Estate of LARRY GENE	§	
McCOLLUM,	§	
PLAINTIFFS	§	
	§	
V.	8	CIVIL ACTION NO.
	8	4:14-cy-3253
	§	JURY DEMAND
BRAD LIVINGSTON, JEFF PRINGLE,	§	• • • • • • • • • • • • • • • • • • • •
RICHARD CLARK, KAREN TATE,	§	
SANDREA SANDERS, ROBERT EASON, the		
UNIVERSITY OF TEXAS MEDICAL	§	
BRANCH and the TEXAS DEPARTMENT OF	§	
CRIMINAL JUSTICE.	§	
DEFENDANTS	8	

Plaintiffs' Consolidated Summary Judgment Response Appendix

# **EXHIBIT 299**

### UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF TEXAS DALLAS DIVISION

STEPHEN McCOLLUM, STEPHANIE \* KINGREY, and SANDRA McCOLLUM, individually and as heirs at law to the Estate of LARRY GENE McCOLLUM, Plaintiffs, VS. \* CIVIL ACTION NO. 3:12-cv-02037 \* BRAD LIVINGSTON, JEFF PRINGLE, and the TEXAS DEPARTMENT OF CRIMINAL JUSTICE,

Defendants.

\*\*\*\*\*\*\*\*\*\*\*

ORAL 30(b)(6) DEPOSITION OF

TEXAS DEPARTMENT OF CRIMINAL JUSTICE

THOMAS L. VIAN

MARCH 27, 2013

VOLUME 1

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ORAL DEPOSITION OF THOMAS L. VIAN, produced as a witness at the instance of the Plaintiffs and duly sworn, was taken in the above-styled and 4 numbered cause on the 27th day of March, 2013, from 1:33 p.m. to 4:35 p.m., before KIMBERLY G. KEEPER, Certified Shorthand Reporter in and for the State of 6 Texas, reported by machine shorthand, at the Office of 7 the Attorney General, 300 West 15th Street, 7th Floor, 8 Austin, Texas 78701 pursuant to the Federal Rules of 9 10 Civil Procedure and that the deposition shall be signature having been waived. 12 13 14 15 16 17 18 19 20 22 23 24 25

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Stephen McCollum, et al Brad Livingston, et al
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area for inmates to go such as play dominoes in or just have quiet time, and that particular area was needed for a treatment area, some type of treatment or classroom areas for some type of treatment program that was -- or a classroom that was being treated for some type of program that they may have at a particular unit, could be anywhere from -- from some type of drug treatment program that they needed a classroom place and the unit was not originally designed to have a drug treatment program there, so they'd needed a design -- they needed a room with air conditioning in it so that they could have a classroom atmosphere. So the choice was made for that need and it would have been run through the proper channels to be approved and that need addressed through that.

- Q. Do you know what -- what those channels would be, who -- who would -- whose desk would that have to go over to get approved?
- A. Yes, sir, it would have had to be the requester.
  - O. Uh-huh.
- A. Okay. The requester normally -- it could be a department on the unit, but the requester would be that department on the unit would get the maintenance supervisor.

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Q. Uh-huh.

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- Α. They would fill out the major work request. That major work request would then be submitted through the unit warden, he would approve it, then that major work request would flow to regional maintenance for the regional maintenance manager to look at that request, to make a determination to make sure that it was accurate and had all the necessary requirements and materials that would be needed to complete that task if he was able to provide that, or it would be designated on there that engineering assistance would be needed. It would flow from there to the regional director of CID, in one of the six regions, it would then flow from there to the CID leadership, which would be Mr. Stephens and Mr. Thaler for their approval process. Before it got to Mr. Stephens and Mr. Thaler, if there was another division, that division director would have to sign off on it.
  - Q. When you say if there was another division, what do you mean?
  - A. Well, if agriculture was needing it or it was a medical type thing that was needed and somebody in medical that was the liaison for -- for that particular branch would need to sign off to it to attest that there is truly a need.

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- Q. So if the -- just as a hypothetical, if the medical department at the Hutchins unit were to say, "We need to repurpose the multipurpose room for medical treatment and it's going to have to be air conditioned," then it would have to go through the medical -- like the health services department at TDCJ, too, as opposed to if Warden Pringle said we need a -- to air condition a multipurpose room for some programatic reason that's not related to medical; is that --
- 10 A. Yes.
- 11 Q. -- a fair --
- 12 A. Yes, sir.
- 13 Q. -- assessment? Okay.
  - And you said that who -- the requester would initiate the process. That would be like the -- for example, if like the principal of the school at the prison said, "We need the multipurpose room," that person would be the requester; is that fair?
- 19 A. Could be, yes, sir.
- 20 | Q. Okay.
- 21 A. Could I finish the process?
- 22 | Q. Oh, I'm sorry.
- 23 | A. Okay.
- 24 Q. I apologize, I thought you had.
- 25 A. No, sir. No, sir. When it's completed by the

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CID leadership --

- Q. Uh-huh.
- A. -- which would be Mr. Stephens and Mr. Thaler has to sign off on it, then it would come to the facilities division, and at that time it would be given a tracking number or an MWR approval. It could be declined at any one of those levels. When it comes to the facilities division, then it's given a number.
  - Q. Uh-huh.
- A. Okay. At this day and time, it meets a committee. The committee decides on what the -- the need is and which category it would fall into. If that request is less than \$50,000, then it does not have to leave the facilities division -- or let me say this: If the overall cost is less than 50,000, because there is engineering fees and overhead that has to be added to it of the agency.

If it's less than \$50,000, then it stays in-house there at the -- in the facilities division. If it's more than \$50,000, it goes to what's referred to as an FRB, which is the facilities review board. It's a four-member board chaired by Mr. Collier. Okay. If it's greater than 500,000 and less than a million --

- Q. Uh-huh.
- A. -- it has to have the executive leadership;

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Mr. Livingston has to sign off on it. If it's greater than a million dollars, then it has to go to the TDCJ Board of Criminal Justice and you have to get on their agenda many months in advance to get on that agenda for approval.

In between that process, it normally has to be designed, it has to be bid out, and all that takes long terms of time because the way that the -- the agency is set up by legislative mandates. And there also has to be a funding source.

- Q. And when you say a funding source, that could mean, you know, that there was left -- extra money left over in the budget from the previous year that could be applied to that or that could mean that there needs to be a legislative appropriation. Are those the types of funding sources that you --
  - A. Yes.
- Q. Okay. Theoretically, someone could give the prison a grant for --
  - A. I don't -- I have never heard of a grant, but I don't know -- most money comes through --
    - Q. Sure --
  - A. Well, I take it back. Yes, there is -- there are grants where we build -- that we have built chapels where an outside group will pay for that cost. So I

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guess you could consider that a grant.

But yes, there has to be a funding source, and depending on what the legislature has granted us, bond funds -- and all of those processes, if it's greater than \$500,000, it's paid for out of bond funds and those bonds funds are granted by the legislature every two years and that amount is determined, it's not a set amount. The legislature determines how much money that they're going to fund for the biennium. This year the rumors are it's either 38 or 50 million. It's been as high as 80 million.

- Q. And that -- that bond fund, is that used for -- is that earmarked for construction or --
  - A. It's --
    - Q. -- what is that -- what is that used?
- A. It's earmarked for renovations and construction and -- minor construction. Major construction, any -- any expansion of beds have to be -- have legislative approval.
- Q. So even if there were -- you wanted to install a bed and it would only cost \$5,000, you'd -- or less than \$5,000, you'd still have to go through the legislature for that; is that fair?
- A. There are certain rules, and I'm not exactly familiar with them, because we -- when we closed down

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- central, we actually moved those beds to different locations. There is a process, a de minimus process that they can add a percentage of beds without legislative approval, but they have to have public hearings --
  - Q. Uh-huh.
  - A. -- this, that and the other to approve that process. That's laid out by the legislature on what that process is, but if we decided that we wanted to take part of this money that the legislature appropriates and we want to go build a thousand bed brand new prison out here, it can't happen. The legislature is the only one that's got approval to do that.
  - Q. Okay. So there is some minimal number -- de minimus number of beds that you could do without getting the legislature to approve, but at some point there is a number that they have to approve all additional --
  - A. Yes, sir.
  - Q. -- beds.
- A. Any new building or new prison that would be built would have to be legislatively mandated and approved.
- Q. Okay. Let's -- obviously I cut you off when we were going over this, so let's go back and go over it.

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I've got some additional questions about the -- the 1 process you've just described to me. Let's see. 2 So there is a different -- it sounds like 3 the -- there is -- the more money basically a project 4 costs, the more levels of scrutiny it has to go through; 5 6 is that --Yes, sir. Α. 7 -- fair? 8 Q. You said that if a -- there -- if 9 Okay. it's more than \$50,000, it has to go through a committee 10 that's chaired by Mr. Collier. 11 12 Α. Yes, sir. Can I -- can I back up and clarify --13 14 0. Please. 15 Α. -- something on that? 16 Q. Uh-huh. That is with the exception of direct 17 Α. 18 replacement. Uh-huh. 19 Q. Okay? Direct replacement is when I'm taking 20 Α. out a piece of equipment and I'm replacing it with the 21 same like piece of equipment. We don't have to have 22 approval until \$500,000 there. 23 24 I am in the process -- or we are in the process of replacing many air handling units, air 25

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conditioning units throughout the state, and we do this on a regular basis, that they cost like \$350,000 apiece. Those do not take the approval of the FRB board, although the FRB board has to approve me a lump sum of money for direct replacement purposes and maintenance less than 50, because those are bond funds that's still I ask for X number of dollars periodically when we have these FRBs to where I have a amount of money that I can -- we can make decisions on to replace equipment that's needed to be replaced, whether it be hot water heater, boilers. We have a project going on at the Montford unit now that we are replacing the chilled water system and putting new chillers in -- in there, new -rebuilding the existing cooling tower, and then we have large numbers of units where we are replacing the air conditioning unit on a lot of the medical facilities that we're replacing in the medical, some of it in administration, particular parts of the building. Sometimes it has to do with -- with like administrative-segregation parts of it -- of a prison. The Allred unit, for example we are fixing to change the chillers out for 12 Building there. of the 2250s, we have changed those chillers out within the last three years because basically the life span on

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those pieces of equipment are about 20 years.

- Q. Is about how many years?
- A. About 20 years.
- Q. 20 years? Uh-huh.
- A. Most of those got their life expectancy. We have already changed many of them out. We are in the process, you know, because of the age. So we're constantly asking for money on that direct replacement fund.
  - Q. Okay.
- A. So that -- that fund is where we -- there is an exception to the -- to the over 50,000-dollar.
- Q. So the direct replacement funds, if you're swapping out a 20-year-old heating unit that's about to break or maybe already has broken, that where the replacement cost is \$100,000, for example, you wouldn't have to go through that FRB process because you're essentially swapping like for like.
- A. Yes, sir.
- Q. Okay. Now, you said that you're in the process of replacing a number of air conditioning and air handler units at a number of different facilities because the -- a whole bunch of these facilities were built in the 1990s and presumably they're running up on the life span of the mechanisms that were installed;

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1 is --

2 A. Yes, sir.

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- Q. -- that fair?
- 4 A. Yes, sir.
  - Q. Does that mean -- are you then familiar with the cost of a new air conditioning unit versus the cost of a -- the H -- a heating unit that doesn't air condition?
    - A. Familiar, yes, sir.
- 10 | Q. What --
- 11 A. Not exact cost.
  - Q. That's fine, I don't need you to be to dollars and cents, but what is the cost difference between one of the air conditioning units that you've been describing versus one of the heating units that you've described?
  - A. A air handling unit that is just going to heat and provide air ventilation would be roughly 35 to 40 percent of the cost of a like unit that has the air conditioning components in it.
  - Q. So a -- and I'm about to try to do math, so I have to warn you that this could get dangerous. An air handler -- if the air conditioning unit costs \$100,000, you would expect the air handler unit that doesn't air condition, just provides heat, to be 30 to \$40,000. Is

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that --

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- A. Yes, sir. I can -- I can --
- O. More or less?
- A. Yes, sir, that's more or less. And I'm not going to challenge your math, let me give you some realistic figures that that we're looking at. Some 328,000—dollar units that provide air conditioning, we are putting in some very similar units at another location and this is just equipment, not labor costs, not for contractors doing it, we're doing it with our labor you're looking at about \$328,000 versus about 118,000, I believe the figures were. Now, I don't want you to quote me exact on that, but that's that's roughly the difference on the same type of units. The only difference in the 358,000 is it does have the air conditioning capability built within that system.
- Q. So that might be -- the 358,000 might buy you a chiller unit that would --
  - A. An air conditioning unit.
- Q. An air conditioning unit that -- running on refrigerated air or a water chiller like you described?
  - A. Refrigerated air.
- Q. Okay. So that would be less expensive than a chiller unit for the reasons we talked about before.
  - A. Yes, sir.

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- 0. And those -- those two numbers that you 1 Okay. 2 just approximately quoted me, again I'm not trying to hold you to anything, but 358 versus 128; is that the --3 4 Α. I think it was 118. 5 0. Around 350 and around 120, can we round 118. 6 to --Uh-huh. 7 Α. -- for the purposes of this? Would those two 8 Q. units, would those heat or cool the same amount of 9 10 space? Α. Roughly. 11 12 Q. Roughly. Yes, sir. 13 Α. 14
  - Q. Okay. And then I assume, because the difference -- if you were to -- if you wanted to swap a -- an AC unit for an old exhausted heat unit, you would need to go through this FRB process because the difference between those two systems is more than \$50,000.
  - A. Yes, sir.

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- 21 Q. Is that correct? Okay. Okay.
- 22 A. Can I add --
- 23 Q. Please.
- A. Because you're changing, you're not doing your
  direct for direct --

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O. Uh-huh.

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- A. -- to have to go through the FRB process, but you also have to have a design on it.
- Q. Yeah. Let's -- let's hold off on that for a second. I want to talk a little bit more about the FRB process and then we'll -- I want to talk about that, too, but you've given me this long list of things; I don't want to forget what --
  - A. That's fine.
- Q. So the FRB process, that has to go through a committee that's chaired by Mr. Collier. Who -- and Mr. Collier is the deputy director?
- 13 A. Yes, sir. Mr. Livingston is deputy director.
  - Q. He is right below Mr. Livingston.
- 15 A. Yes, sir.
- Q. Okay. And you said there is a committee that he chairs. Who else is on that committee?
- A. That committee has Mr. McGinty on it, which is the chief financial officer, Mr. --
  - Q. Okay.
- 21 A. -- Mr. Thaler which is the correctional institution division's director.
- 23 Q. Uh-huh.
- A. And Mr. Frank Inmon, which is the facilities division director.

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UNITED STATES DISTRICT COURT
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                 NORTHERN DISTRICT OF TEXAS
                      DALLAS DIVISION
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   STEPHEN McCOLLUM, STEPHANIE *
   KINGREY, and SANDRA
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   McCOLLUM, individually and
   as heirs at law to the
5
   Estate of LARRY GENE
   McCOLLUM,
6
            Plaintiffs,
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                              *
   VS.
                                 CIVIL ACTION NO.
                                   3:12-cv-02037
8
   BRAD LIVINGSTON, JEFF
   PRINGLE, and the TEXAS
9
   DEPARTMENT OF CRIMINAL
10
   JUSTICE.
            Defendants.
11
          12
                  REPORTER'S CERTIFICATION
                  ORAL 30 (b)(6) DEPOSITION OF
13
             TEXAS DEPARTMENT OF CRIMINAL JUSTICE
                       THOMAS L. VIAN
14
                      MARCH 27, 2013
15
                          VOLUME 1
         16
                 I, KIMBERLY G. KEEPER, Certified Shorthand
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   Reporter in and for the State of Texas, hereby certify
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   to the following:
                 That the witness, THOMAS L. VIAN, was duly
20
   sworn by the officer and that the transcript of the oral
21
   deposition is a true record of the testimony given by
22
   the witness;
23
24
                 I further certify that pursuant to FRCP
   Rule 30(f)(1) that the signature of the deponent:
25
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was requested by the deponent or a 1 party before the completion of the deposition and is to 2 be returned within 30 days from date of receipt of the 3 transcript. If returned, the attached Changes and 4 Signature Page contains any changes and the reasons 5 therefor; 6 XXX was not requested by the deponent or a 7 party before the completion of the deposition. 8 I further certify that I am neither 9 10 counsel for, related to, nor employed by any of the parties or attorneys to the action in which this 11 12 proceeding was taken. Further, I am not a relative or employee of any attorney or record in this cause, nor am 13 14 I financially or otherwise interested in the outcome of 15 the action. Subscribed and sworn to on this the 8th 16 day of April, 2013. 17 18 Kimberly G. Keeper 19 20 KIMBERLY G. KEEPER, TEXAS CSR No. 2162 Expiration Date: 12/31/13 21 WRIGHT WATSON & ASSOCIATES Firm Registration No. 225 22 Firm Expiration: 12/31/13 3307 Northland Drive, Suite 185 23 Austin, Texas 78731 512-474-4363/512-474-8802 (fax) 24 JOB NO. 130327KGK 25

## UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF TEXAS HOUSTON DIVISION

STEPHEN McCOLLUM, and SANDRA	§	
McCOLLUM, individually, and STEPHANIE	§	
KINGREY, individually and as independent	§	
administrator of the Estate of LARRY GENE	§	
McCOLLUM,	§	
PLAINTIFFS	§	
	§	
V.	§	CIVIL ACTION NO.
	§	4:14-cv-3253
	§	JURY DEMAND
BRAD LIVINGSTON, JEFF PRINGLE,	§	
RICHARD CLARK, KAREN TATE,	§	
SANDREA SANDERS, ROBERT EASON, the	§	
UNIVERSITY OF TEXAS MEDICAL	§	
BRANCH and the TEXAS DEPARTMENT OF	§	
CRIMINAL JUSTICE.	§	
DEFENDANTS	§	

Plaintiffs' Consolidated Summary Judgment Response Appendix

# **EXHIBIT 300**

IN THE UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF TEXAS HOUSTON DIVISION					
STEPHEN McCOLLUM and  SANDRA McCOLLUM,  individually and STEPHANIE )  KINGREY, individually and as)  independent administrator )  of the Estate of LARRY GENE )  McCOLLUM,  Plaintiffs,	Civil Action  Number 4:14-CV-3253				
vs.					
BRAD LIVINGSTON, JEFF PRINGLE, RICHARD CLARK, KAREN TATE, SANDREA SANDERS, ROBERT EASON, THE UNIVERSITY OF TEXAS MEDICAL BRANCH and THE TEXAS DEPARTMENT OF CRIMINAL JUSTICE,  Defendants.					
ORAL AND VIDEOTAPED DEPOSITION OF ROBERT LEWIS WILLIAMS, MD, CCHP, CPH					
MARCH 07, 2016					

Robert Williams, MD, CCHP, CPH - 3/7/2016

ORAL AND VIDEOTAPED DEPOSITION OF ROBERT LEWIS WILLIAMS, MD, CCHP, CPH, produced as a witness at the instance of the PLAINTIFFS, and duly sworn, was taken in the above-styled and numbered cause on MARCH 07, 2016, from 9:31 a.m. to 7:31 p.m., before Melody Renee Campbell, CSR in and for the State of Texas, reported by method of machine shorthand, at the offices of the Attorney General, 300 West 15th Street, Austin, Texas, pursuant to Notice and Court Order and the Federal Rules of Civil Procedure. 

Robert Williams, MD, CCHP, CPH - 3/7/2016

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likely reason they die is from a disarrhythmia, which means the heartbeats in an abnormal manner and that -- that's not compatible with life.

So in the course of the field the cardiology, medications were created that can prevent abnormal rhythms. And so someone -- it became practice, for a while, that when someone was diagnosed with heart attack while they were in the hospital, one of these medications, called an anti-dysrhythmogenic agent, would be initiated.

What they found -- even though that made common sense and seemed like a sensible approach, what they found in reality is, the people who were started on the anti-dysrhythmogenic agents actually died at a faster rate than the people who had not been started.

And with hindsight what was realized is the medication that can stop stop a disarrhythmia can also be dysrhythmogenic and it can -- in the heart that has no indication of abnormal rhythms, that medication can actually, instead of preventing the abnormal rhythm from ever happening, can stimulate the heart to have the abnormal rhythm.

Q. If you were to fall out of your chair during this deposition and have a seizure and be

nonresponsive, what should someone at this table do 100 times out of a hundred?

A. All emergency services.

- Q. Okay. In light of the budget cuts, there's been testimony at different times in different cases that officers, correctional officers receive training about the need to contact emergency services. Are you aware of any such training? And I'm talking about prior to the summer of 2011.
- A. With the time constraint I have no knowledge of what correctional officers have been taught.
- Q. What's been represented to us, I believe, in testimony -- may be from Dr. Linthicum but I can't be exactly positive -- is that in light of the budget cuts and the fact that there were going to be fewer hours of care available at certain units, that training was given to officers about the need to contact emergency services if they encountered particular situations.

Were you involved in any way, in any discussion relating to increase the training in light of decreasing the staff hours at certain clinics?

A. I do not recall participating in any such

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315
              ROBERT LEWIS WILLIAMS, MD, CCHP, CPH
 1
 2
                    REPORTER'S CERTIFICATE
 3
    STATE OF TEXAS
 4
    McLENNAN COUNTY
 5
 6
           I, Melody Renee Campbell, Certified Shorthand
    Reporter in and for the State of Texas, do hereby
 7
 8
    certify that the foregoing deposition is a full,
 9
    true and correct transcript;
10
           That ROBERT LEWIS WILLIAMS, MD, CCHP, CPH, the
11
    witness hereinbefore named, was duly sworn by the
12
    officer and that the oral deposition was taken by
13
    the officer in machine shorthand on MARCH 7, 2016,
14
    and is a true record of the testimony given by the
15
    witness;
16
            I further certify that the signature of the
17
    deponent was requested and is to be returned within
18
    30 days from date of receipt of the transcript.
19
    returned, the attached Changes and Signature Page
    contains any changes and the reasons therefor;
20
2.1
            That $ 2537.10 is the deposition
22
    officer's charges for preparing the original
23
    deposition transcript and any copies of exhibits,
    charged to PLAINTIFFS
24
25
            I further certify that I am neither counsel
```

for, related to, nor employed by any of the parties in the action in which this proceeding was taken, and further that I am not financially or otherwise interested in the outcome of the action. Subscribed and sworn to on this the 22nd day of March 2016. CAMPBELL, RMR, CRR Integrity Legal Support Solutions 3100 W. Slaughter Lane, Suite A-101 Austin, Texas 78748 512.320.8690 512.320.8692 (fax) 

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314
  1
                      CHANGES AND SIGNATURE
  2
     RE: McCOLLUM v. LIVINGSTON
  3
     WITNESS: ROBERT LEWIS WILLIAMS, MD, CCHP, CPH
  4
     PAGE/LINE
                   CHANGE
                                    REASON
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               I, ROBERT LEWIS WILLIAMS, MD, CCHP, CPH,
 21
     have read the foregoing deposition and hereby affix
 22
     my signature that same is true and correct, except
 23
     as noted above.
 24
- 25
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-1	CHANGES AND STONAMIDE	314
1	CHANGES AND SIGNATURE	
2	RE: McCOLLUM v. LIVINGSTON	
3	WITNESS: ROBERT LEWIS WILLIAMS, MD, CCHP, CPH	
4	PAGE/LINE CHANGE REASON	
5	Please see attached list of corrections.	
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20	I, ROBERT LEWIS WILLIAMS, MD, CCHP, CPH,	
21	have read the foregoing deposition and hereby affix	
22	my signature that same is true and correct, except	
23	as noted above.	
24		
25	All	
	John Jen	

Integrity Legal Support Solutions
 www.integrity-texas.com

Plaintiffs' MSJ Appx. 7048

Page/Line	Change	Reason
7/25	"hyperthermia" instead of hyperthermic	To correct transcription error
8/1-2	It was the understanding of Dr Williams that Mr	To correct transcription error
, am.	Edwards said "Hutchins" instead of much continues.	
8/9	"the" instead of shoots other.	To correct transcription error
	Delete the word, it.	
8/23	"Temple" instead of temperature	To correct transcription error
9/1	"wound care and hyperbarics" instead of wound and hyper bar rings.	To correct transcription error
10/4	"hyperbaric" instead of <i>hyperthermia</i>	To correct transcription error
14/23	It was the understanding of Dr Williams that Mr	To correct transcription error
/	Edwards said "free world" instead of 43.	To correct transcription error
20/4	"Buskirk" instead of Buzz Kirk.	To correct transcription error
22/3	"We" instead of I.	To conform to the facts
24/6	"until" instead of follow	To correct transcription error
24/7	Dr Williams' employment with TDCJ began Dec 10,	To confect transcription error
	2007	TO COMOTHI TO THE TACES
28/2-3	"2011" instead of 2007	To correct transcription error
28/8-21	My response is consistent with my recollection and	To conform to the facts
	perspective. However, on review of additional	
	documentation, I was reminded that I attended the	
	12/12/07 M&M meeting as part of my orientation. It	
	was my first full day to work in Health Services	
	Division headquarters, and I did not participate in the	
	meeting as a voting member but was merely	
	observing. Although I do not recall any details of cases	
	discussed that day, the 2007 deaths attributed to	
	hyperthermia were discussed in that meeting.	
30/9	"cut my house in two" instead of gut my house in.	To correct transcription error
30/16	"their" instead of there	To correct transcription error
30/21	"offender" instead of offend	To correct transcription error
31/23	"individual" instead of slid	To correct transcription error
32/15	"concerning" instead of conserving	To correct transcription error
34/11	"begin doing" instead of beginning	To correct transcription error
35/11	Delete "association"	To correct transcription error
36/8	"measures" instead of measured	To correct transcription error
42/14	"Thaler" instead of That I her	To correct transcription error
45/9	"missing" instead of miss	To correct transcription error
47/17	Delete "loose"	To correct transcription error
52/15	"his illness" instead of hisleness	To correct transcription error
56/7	"height" instead of highest	To correct transcription error
60/13	"dentists" instead of dent activities	To correct transcription error
61/8	"general counsel" instead of gentle down	To correct transcription error
62/21	"responding" instead of sponged	To correct transcription error

Page/Line	Change	Reason
63/8	Upon reflection, I recall a telephone conversation when I briefly explained the M&M Review process and was advised to produce the worksheets. However, I do not recall the name of the person to whom I spoke, the office that individual represented, or whether the person was a lawyer.	To conform to the facts
65/23-24	Delete "end you can't hazard a guess"	To correct transcription error
65/25	Delete "when"	To correct transcription error
67/12	"people" instead of female	To correct transcription error
70/13	"mortality" instead of morality	To correct transcription error
71/21	"assists" instead of assistance	To correct transcription error
72/17	Administrative Assistant IVs assisting with M&M Sherry Williford (Jan 1998-Jan 2003) Marjorie Davis-Fletcher (Jan 2003-Dec 2010) Paul Hardy (Jan 2011-May 2012) Marjorie Davis-Fletcher (May 2012-Aug 2012) Krista Greathouse (Aug 2012-Mar 2015) Helen Buendel (Mar 2015-present)	Other: to provide the Information requested
73/3	"mortality" instead of morality	To correct transcription error
77/11	"a list" instead of lace	To correct transcription error
79/12	"result" instead of advance	To correct transcription error
80/15	"without" instead of would you	To correct transcription error
99/21	"whether" instead of wouldn't	To correct transcription error
102/9	"collect or" instead of collector	To correct transcription error
104/5	"in and without" instead of and would you	To correct transcription error
116/12	"mortality" instead of morality	To correct transcription error
117/13	Insert were so that it reads, "results were available", instead of results available.	To correct transcription error
118/15	"the scenario" instead of that scene Joe	To correct transcription error
120/16	Delete "were"	To correct transcription error
121/13	Delete "occurs in"	To correct transcription error
122/6	"it's" instead of <i>ice</i>	To correct transcription error
123/1	Insert meeting so that it reads, "at the next meeting", instead of at the next.	To correct transcription error
123/2	"are" instead of have	To correct transcription error
124/2	"processes" instead of repossess	To correct transcription error
124/10	"prepared" instead of presented	To correct transcription error
124/16	Delete "incidental"	To correct transcription error
125/10	"to" instead of would you	To correct transcription error
126/1	Delete "as"	To correct transcription error
126/11	"establishing" instead of loose dating	To correct transcription error
126/24	Delete "be"	To correct transcription error
128/14	"My" instead of Eye	To correct transcription error
138/22	"performing" instead of telephoning	To correct transcription error

Page/Line	Change	Reason
141/12	"mind" instead of behind	To correct transcription error
152/23	"vertigo" instead of veteran	To correct transcription error
158/21	Change is to "enters" and delete "oats", so the line	To correct transcription error
	reads as follows: "So, the clerk enters offender name	
	and number on it,".	
160/24	"offender" instead of <i>vendor</i>	To correct transcription error
162/16	"headquarters" instead of headaches	To correct transcription error
162/17	"located" instead of <i>locate</i>	To correct transcription error
162/22	"based" instead of basically	To correct transcription error
166/11	"days" instead of <i>dates</i>	To correct transcription error
169/23	"squiggle" instead of angle	To correct transcription error
170/20	"able" instead of <i>anal</i>	To correct transcription error
171/24	Delete "somebody happened to"	To correct transcription error
172/17	"services" instead of offices	To correct transcription error
173/12	"ARRM" instead of arm. It stands for Administrative	To correct transcription error
	Review and Risk Management.	
173/19	Delete "eq"	To correct transcription error
173/23	"OPS" instead of <i>OP</i>	To correct transcription error
184/20	"My" instead of any	To correct transcription error
188/13	This line should end with a period and was not a	To correct transcription error
	question.	<b>,</b>
188/24	"mortality" instead of <i>morality</i>	To correct transcription error
190/22	"assigned" instead of say assigned	To correct transcription error
193/16	Delete "marihuana"	To correct transcription error
193/21	"Perspective" instead of percent	To correct transcription error
194/8	"out" instead of sought	To correct transcription error
195/11	"related" instead of relate	To correct transcription error
198/3	"attain" instead of contain	To correct transcription error
198/4	Comma after "consensus"	To correct transcription error
198/5	Comma after "discussion"	To correct transcription error
198/24	Add "listening" after "is"	To correct transcription error
201/2	"were not" instead of worked	To correct transcription error
207/6-7,9	My response is consistent with my recollection and	To conform to the facts
, ,	perspective. However, on review of additional	To some me the facts
	documentation, I was reminded that I attended the	
	12/12/07 M&M meeting as part of my orientation. It	
	was my first full day to work in Health Services	
	Division headquarters, and I did not participate in the	
	meeting as a voting member but was merely	
	observing. Although I do not recall any details of cases	
	discussed that day, the 2007 deaths attributed to	
	hyperthermia were discussed in that meeting.	
208/20-	Beginning with "Did Dr Linthicum ever" through lines	To correct transcription error
24	21, 22, 23, and 24, this is a question asked by Mr.	·
	Edwards. My only response to the preceding question	
	was "I don't think so."	

Page/Line	Change	Reason
210/13	"compliance" instead of appliance	To correct transcription error
212/14	"ascertain" instead of certain	To correct transcription error
215/14	"less man" instead of Lessman	To correct transcription error
216/16	Add "24" before "hours"	To correct transcription error
219/23	"to" instead of toll	To correct transcription error
220/19	"or" instead of approximate	To correct transcription error
221/8	"co-located" instead of collocated	To correct transcription error
222/6	Add "known" after "have" to read as follows:I have	To correct transcription error
	known nurses	·
223/8	"is" instead of ask	To correct transcription error
224/21	"later" instead of rater	To correct transcription error
226/3	"Call" instead of all	To correct transcription error
230/6-8	These lines are still part of Dr Williams's response that	To correct transcription error
	began at line 1.	
233/11	"restrictions" instead of transitions	To correct transcription error
234/25	"department" instead of <i>garment</i>	To correct transcription error
241/5	"one" instead of <i>run</i>	To correct transcription error
242/21	The line should read as follows: "As standing in for Dr. Linthicum's"	To correct transcription error
242/24	"consultation" instead of consult	To correct transcription error
244/25	"Eason" instead of oh son	To correct transcription error
245/21	It was the understanding of Dr Williams that Mr	To correct transcription error
•	Edwards was referring to George Crippen.	and the state of t
247/9-10	These lines were Dr Williams's response to the	To correct transcription error
	question in lines 5-7. The sentence was a statement	
	and should end with a period rather than a question	
	mark.	
256/6	"discussion" instead of discuss or	To correct transcription error
257/8	Delete "he"	To correct transcription error
257/9	"communicating" instead of will availability it	To correct transcription error
260/3	"concern" instead of certain for	To correct transcription error
260/5	"of" instead of and	To correct transcription error
263/6-7	"And, I may have incorrectly assumed that cups were	To correct transcription error
	provided" was my response and not a question from	•
	Mr. Edwards. The sentence should end with a period	
	rather than a question mark.	
267/6	"discussed" instead of decreased	To correct transcription error
274/13	"we" instead of <i>he</i>	To correct transcription error
275/5	"extent" instead of innocent	To correct transcription error
276/7	"I am sorry, what do you mean by 17619?" was the	To correct transcription error
	response of Dr Williams and not asked by Mr Edwards.	
277/19	"recreate" instead of regular rate	To correct transcription error
279/13	"measured" instead of meshed	To correct transcription error
279/16	"or were" instead of would	To correct transcription error
279/17	"they measuring" instead of you their measuring	To correct transcription error

Page/Line	Change	Reason
288/2	Delete "didn't that there"	To correct transcription error
288/3	Delete "areof those"	To correct transcription error
289/23	"was" instead of wasn't	To correct transcription error
291/23	"directly" instead of director	To correct transcription error
293/22	"correctly" instead of collect and	To correct transcription error
293/23	"promptly is" instead of promptlyS	To correct transcription error
295/6	"susceptibility" instead of skill set ability	To correct transcription error
297/12	Add "division" after "services"	To correct transcription error
298/5	"seen" instead of season	To correct transcription error
299/9	"the more" instead of some	To correct transcription error
299/22	"basis" instead of preparation	To correct transcription error
299/23	Delete "if"	To correct transcription error
302/17	"pathologists" instead of technologists	To correct transcription error
303/3	Delete "and"	To correct transcription error
304/7	"he" instead of I	To correct transcription error
305/15	"restriction" instead of medicine	To correct transcription error
305/20	"we" instead of with	To correct transcription error
Page/Line	Change	To correct transcription error
305/21	There should not be a comma after "practice", and "So" should not be capitalized.	To correct transcription error
306/3	Delete "I have", and add a comma after "But".	To correct transcription error
307/5	"devises" instead of devices	To correct transcription error
307/16	"seen" instead of spoken	To correct transcription error
308/1	"TUHSU" instead of <i>2C</i> . Stands for Texas Uniform Health Status Update.	To correct transcription error
311/1	"Denee" instead of <i>Den nay</i>	To correct transcription error
311/2	"regional" instead of region	To correct transcription error
311/16	"functions" instead of functioneds	To correct transcription error
311/18	It was Dr Williams' impression that Mr Edwards said "Robison" instead of <i>reason son</i> .	To correct transcription error
311/19	It was Dr Williams' impression that Mr Edwards said "Denee Robison" instead of den nay <i>reason son</i> .	To correct transcription error